

# Holger Schünemann

Director, Cochrane Canada

Chair and Professor

Dept. of Health Research Methods, Evidence & Impact\*

Professor of Medicine | McMaster University

\*formerly „Clinical Epidemiology and Biostatistics“

 @schunemann\_mac @CochraneCanada

---

## GRADE Training



# Disclosures

 **Cochrane** Cochrane Canada Director

**GRADE** working group Co-chair

No direct financial COI

Views expressed my own

# Today

## Before Coffee

- Considering and understanding PICO's
- Your SoF Table
- Understand GRADEpro
- Assessing Evidence – Understand GRADE

## Coffee to lunch

- Assessing the certainty – Do GRADE

## Lunch to afternoon break

- Complete your SoF Table



# A clinically sensible question

Population:  
impact of

In patients with (lung) cancer, what is the

Intervention:  
(comparison)

heparin  
compared with no heparin

Outcomes:  
death,

on the risk for venous thromboembolism,  
bleeding, burden...?

PICO



## Questions

Should be  
**practice**  
NOT  
evidence driven

# Good questions...

Questions you have when trying to decide what to prescribe/recommend to your patient

Questions you have when trying to decide what to provide in your country/region/ clinic

**What should you do with  
the person in front of you?**

## Outcomes


Should be  
importance driven  
NOT  
evidence driven

POSITION ARTICLE AND GUIDELINES

Open Access



# World Allergy Organization-McMaster University Guidelines for Allergic Disease Prevention (GLAD-P): Prebiotics

Carlos A. Cuello-Garcia<sup>1,2</sup> , Alessandro Fiocchi<sup>3†</sup>, Ruby Pawankar<sup>4†</sup>, Juan José Yepes-Nuñez<sup>1,5</sup>, Gian Paolo Morgano<sup>1</sup>, Yuan Zhang<sup>1</sup>, Kangmo Ahn<sup>6</sup>, Suleiman Al-Hammadi<sup>7</sup>, Arnav Agarwal<sup>8</sup>, Shreyas Gandhi<sup>8</sup>, Kirsten Beyer<sup>9</sup>, Wesley Burks<sup>10</sup>, Giorgio W. Canonica<sup>11</sup>, Motohiro Ebisawa<sup>12</sup>, Rose Kamenwa<sup>13</sup>, Bee Wah Lee<sup>14</sup>, Haiqi Li<sup>15</sup>, Susan Prescott<sup>16</sup>, John J. Riva<sup>1,17</sup>, Lanny Rosenwasser<sup>18</sup>, Hugh Sampson<sup>19</sup>, Michael Spigler<sup>20</sup>, Luigi Terracciano<sup>21</sup>, Andrea Vereda<sup>22</sup>, Susan Wasserman<sup>23</sup>, Holger J. Schünemann<sup>1,23\*</sup> and Jan L. Brożek<sup>1,23</sup>

---

\* Correspondence: holger.schunemann@mcmaster.ca

†Equal contributors

<sup>1</sup>Department of Clinical Epidemiology & Biostatistics, McMaster University, Hamilton, ON, Canada

3. Should prebiotics vs. no prebiotics be used in infants?

Depends on the outcomes



For the question comparing cryotherapy performed by a doctor or a non-physician.

Please rate the outcome on a scale from 1 (least important) to 9 (most critical).

Note: You can rate multiple outcomes with the same number.

	1	2	3	4	5	6	7	8	9
cervical cancer incidence	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
recurrence of CIN/cure rates	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
retreatment rates	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
major bleeding (requiring hospital admission or blood transmission with no long term sequelae)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
minor bleeding (e.g. spotting)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
major infection (requiring hospital admission and antibiotics, no long term sequelae)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
minor infection (requiring outpatient treatment only)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
all severe adverse events (including major bleeding, major infections, etc.)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
all minor adverse events (including minor bleeding, minor infection, discharge, flushing, feeling faint, etc.)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
pain (requiring local treatment)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
feeling faint	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
flushing	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
discharge	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
resource use (including cost, human resources and length of stay)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
acceptability to providers (please clarify acceptability)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
acceptability to women (please clarify acceptability)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
referrals after cryotherapy for complications or follow-up treatment	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
HIV transmission (HIV infection, HIV shedding)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

List of outcomes can be long...

Not everything that is measured is important and not everything that is important is measured

That may be particularly true for outcomes in nutrition research

- Lab values – patient important outcomes?
- Vitamin D levels, carotenoid levels, etc.



# Choose outcomes

Establish methods for rating the relative importance of outcomes:

- Consultation with consumers and stakeholders (e.g., survey)
- Systematic review of consumers and stakeholders' views
- Input of panelists (including consumers and stakeholders): informal vs. formal and structured





# Choosing outcomes

Generate a list of outcomes (from literature, from the panel, from clinical experts, from patient groups)



# Issues

## Surrogate outcomes

- Outcomes that are relatively infrequent or occur over a long period of time
- Use substitutes or surrogates
- May not be important to decision making

e.g. IgE levels, biopsy gastrointestinal tract vs diarrhoea, weight loss



# Other issues

“we will not find any data for ....”

Do not exclude outcomes for which you think there will not be data

Do not let little data influence the ranking of the outcome  
(if it's critical, it is critical)



# Choosing outcomes

Generate a list of outcomes (from literature, from the panel, from clinical experts, from patient groups)

**Ask panel to rank the outcomes by importance (anonymous)**



# Approach to outcome determination

## Desirable outcomes

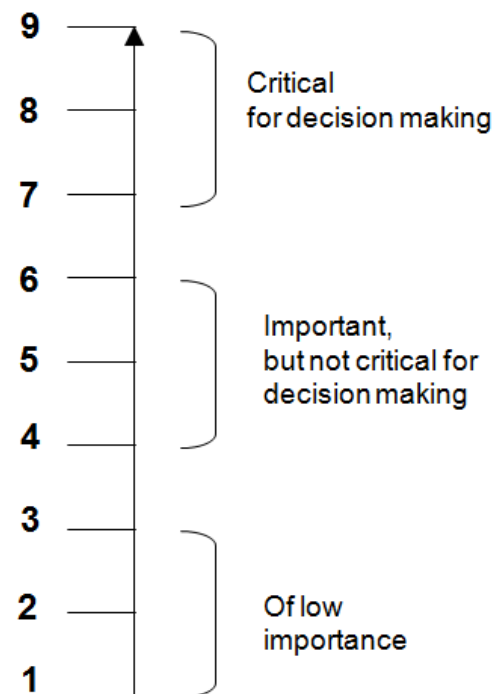
- Lower mortality
- reduced hospital stay
- Pulmonary embolism

## Undesirable outcomes

- Adverse reactions
- Major bleeding

→ Consider desirable and undesirable outcomes explicitly

Not more than ~7 outcomes (SoF Tables)



## TASK

Rate the relative importance of the outcome for decision-making (i.e. formulating a recommendation) of each outcome on a scale from 1 to 9. The meaning of the ratings are:

1–3 are of limited or no importance for decision-making

4–6 are important, but not critical for decision-making

7–9 are critical for decision-making.

Again, you can use the same rating for different outcomes more than once.

## Importance of an outcome

RATING SCALE:								
1	2	3	4	5	6	7	8	9
↑ of least importance						↑ of most importance		
INTERPRETATION FOR DECISION MAKING:								
of limited importance			important, but not critical			critical		

Click Next to proceed to the Outcome Importance Rating.

RATING SCALE:								
1	2	3	4	5	6	7	8	9
↑ of least importance						↑ of most importance		
of limited importance for making a decision (not included in evidence profile)			important, but not critical for making a decision (included in evidence profile)			Critical for making a decision (included in evidence profile)		

[illegible]

### 3. Outcomes for treatments

[Exit this survey](#)

#### 1. OUTCOMES FOR TREATMENT OPTIONS (cold knife conization, cryotherapy and LEEP)

Choose the most important outcomes for decision making: Consider outcomes that might be important to someone making a decision to use or not to use the treatment (make sure to rank benefits and adverse effects)

Rate the relative importance of each outcome on a 9 point scale ranging from 1 (not important) to 9 (critical). You can use the same rating several times (i.e. same number for more than one outcome).

1 – 3 not important,

4 – 6 important, but not critical for making a decision

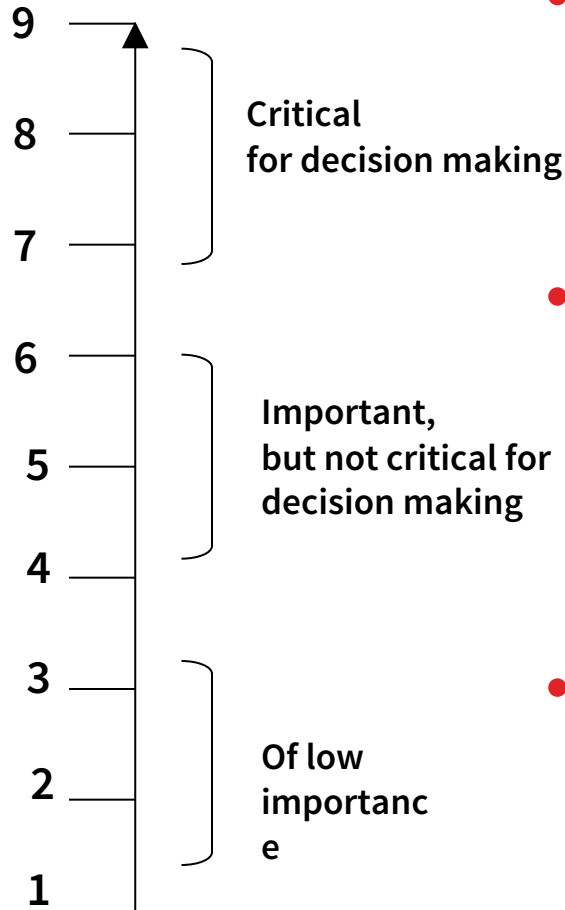
7 – 9 critical for making a decision

	1 (not important)	2	3	4	5	6	7	8	9 (critical)
Residual/recurrent CIN 2,3 (after 6, 12 months and 24 months)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
HPV negative (after 6, 12 and 24 months)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Pelvic Inflammatory Disease	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Major infections ( <i>requiring hospital admission and antibiotics</i> )	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Premature delivery	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
infertility	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
maternal death	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
fetal/neonatal spontaneous abortions	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Major Bleeding ( <i>requires hospitalization/blood transfusion</i> )	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Minor bleeding ( <i>requires packing or suturing</i> )	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Damage to other organs/other surgery required – such as injury to bladder or urethra	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Comments or other outcomes (indicate not important, important, critical)



# How do you rate outcomes?



- Survey the guideline panel about important outcomes
- Rate on a scale of 1 (least critical) to 9 (critical to decision making)
- Critical and important outcomes will be discussed when making recommendations

# Choosing outcomes

Generate a list of outcomes (from literature, from the panel, from clinical experts, from patient groups)

Ask panel to rate the outcomes by importance  
(anonymous)

Calculate the mean or median rating for each outcome  
(between 1-9)

Identify outcomes with large variability in rating, discuss these with panel



OUTCOMES	IMPORTANC E
resource use (including cost, human resources and length of stay)	8.00
CIN 2-3	7.68
cervical carcinoma incidence	7.53
acceptability to women (e.g. satisfaction with process or provider, DOES NOT include incidence of adverse events)	7.53
referrals after cryotherapy for complications or follow-up treatment	7.53
acceptability to providers	7.42
HIV transmission (HIV acquisition, HIV shedding)	7.42
all severe adverse events (i.e. a composite outcome including major bleeding, major infections, etc.)	7.37
major infection (requiring hospital admission and antibiotics)	7.05
major bleeding (requiring hospital admission or blood transmission)	7.00
Mortality	6.53
Fertility (e.g. conception)	5.95
CIN (1 or 2-3)	5.58
Spontaneous abortion	5.47
pain (requiring local treatment)	5.11
Maternal morbidity	4.95
minor infection (requiring outpatient treatment only)	4.26

Answer Options	1 (least important)	2	3	4	5	6	7	8	9 (critical)	Rating Average
cervical carcinoma incidence	0	1	0	1	2	1	1	3	10	7.53
CIN (1 or 2-3)	2	0	4	1	3	1	2	2	2	3.98

# Choosing outcomes

Generate a list of outcomes (from literature, from the panel, from clinical experts, from patient groups)

Ask panel to rate the outcomes by importance (anonymous)

Calculate the mean or median rating for each outcome (between 1-9)

Identify outcomes with large variability in rating, discuss these with panel

Obtain agreement on rating of outcomes

Critical and important outcomes are included

# Risks of not ranking outcomes

Confusion: guideline panels typically cannot balance more than 5 to 7 outcomes

++ evidence retrieval of outcomes that will not be important in decision making (e.g. minor side effects)

Surprises and risk for conflicts of interest to occur



# A sensible health care question

**Population:**

**In infants,**

**Intervention:**

**what is the impact of using  
prebiotics compared with**

**(comparison)**

**not using prebiotics on**

**Outcomes:**

eczema (general), allergic rhinitis, asthma, food  
allergy, any allergy, adverse events, nutritional  
status

PICO

## Prebiotics compared to no prebiotics for prevention of allergies

**Patient or population:** prevention of allergies (160315)

**Setting:** ambulatory care

**Intervention:** prebiotics

**Comparison:** no prebiotics

Outcome № of participants (studies)	Relative effect (95% CI)	Anticipated absolute effects (95% CI)			Quality	What happens /comments
		Without prebiotics	With prebiotics	Difference		
Eczema (general) assessed with: clinical criteria and/or parent definition follow up: range 3 to 24 months to № of participants: 2030 (6 RCTs)	<b>RR 0.68</b> (0.40 to 1.15)	18.7%	<b>12.7%</b> (7.5 to 21.5)	<b>6.0% fewer</b> (11.2 fewer to 2.8 more)	⊕⊕ LOW <sup>a,b,c,d</sup>	
Allergic rhinitis assessed with: clinical criteria № of participants: (0 studies)	not estimable	0.0%	<b>0.0%</b> (0.0 to 0.0)	<b>0.0% fewer</b> (0 fewer to 0 fewer)	- <sup>d</sup>	None of the studies assessed allergic rhinitis symptoms as an outcome
Asthma assessed with: "recurrent wheezing", or self-reported or proxy reported asthma follow up: range 18 to 24 months to № of participants: 249 (2 RCTs)	<b>RR 0.37</b> (0.17 to 0.80)	17.4%	<b>6.4%</b> (3.0 to 13.9)	<b>10.9% fewer</b> (14.4 fewer to 3.5 fewer)	⊕ VERY LOW <sup>c,e,f</sup>	



[Intervention Review]

# Hip protectors for preventing hip fractures in older people

Nancy Santesso<sup>1</sup>, Alonso Carrasco-Labra<sup>2</sup>, Romina Brignardello-Petersen<sup>2</sup>

<sup>1</sup>Department of Clinical Epidemiology and Biostatistics, McMaster University, Hamilton, Canada. <sup>2</sup>Evidence Based Dentistry Unit, Faculty of Dentistry, University of Chile, Santiago, Chile

Contact address: Nancy Santesso, Department of Clinical Epidemiology and Biostatistics, McMaster University, 1200 Main Street West, Hamilton, Ontario, L8N 3Z5, Canada. [santesna@mcmaster.ca](mailto:santesna@mcmaster.ca).

**Editorial group:** Cochrane Bone, Joint and Muscle Trauma Group.

**Publication status and date:** New search for studies and content updated (conclusions changed), published in Issue 3, 2014.

**Review content assessed as up-to-date:** 18 June 2013.

**Citation:** Santesso N, Carrasco-Labra A, Brignardello-Petersen R. Hip protectors for preventing hip fractures in older people. *Cochrane Database of Systematic Reviews* 2014, Issue 3. Art. No.: CD001255. DOI: 10.1002/14651858.CD001255.pub5.

Copyright © 2014 The Cochrane Collaboration. Published by John Wiley & Sons, Ltd.

# Systematic review for group work

**What are the effects of wearing hip protectors for older adults living in institutional settings?**



## **Soft hip protector**



## **Hard hip protector**

# What is the impact of wearing hip protectors for older adults (living in institutional settings)?

Outcomes:

...



# Introduction to GRADEpro

Creating a project

Inserting your question

Importing





HOME

GRADEpro GDT  
OVERVIEW

GUIDELINE  
RESOURCES

CALENDAR  
OF EVENTS

GRADE  
HANDBOOK

CONTACT  
SUPPORT

LOG IN

# GRADE's software for Summary of Findings tables, Health Technology Assessment and Guidelines

LOG IN / SIGN UP



# A systematic review of the literature on the treatment of pityriasis rubra pilaris type 1 with TNF-antagonists

G. Petrof,\* N. Almaani, C.B. Archer

St John's Institute of Dermatology, London

\*Correspondence: G. Petrof. E-mail: g.petrof@stjohns.ac.uk

## Abstract

**Background** Adult pityriasis rubra pilaris (PRP) and histological parallels with psoriasis. TNF-tumour necrosis factor (TNF) antagonists.

**Objectives** Our objective was to systematically review the literature for evidence on the treatment of adult PRP.

**Methods** We performed a systematic search of the Cochrane library, EMBASE and Medline. We defined diagnosis of PRP, classified clinical response and whether TNF-antagonists were used. We also reviewed disease, treatment duration and follow up.

**Results** Sixteen articles were selected for detailed review. From these, 12 articles met the inclusion criteria and were included in the systematic review. The authors identified 15 evaluable cases. A total of 15 evaluable cases were included for analysis. Twelve showed response to TNF-antagonists with a mean time to maximal response of 5 months. In 10 of 12 cases, the response was attributable to TNF antagonist therapy.

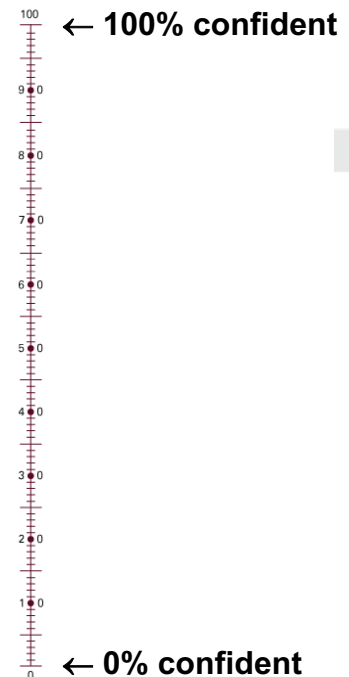
**Conclusion** These data indicate that TNF-antagonists may be of value in treating adult type 1 PRP refractory to other systemic agents but selective reporting bias, together with the lack of standard diagnostic criteria and established spontaneous resolution in PRP, prevent any firm recommendations on their place in management.

Received: 10 November 2011; Accepted: 13 January 2012

How confident are you in the estimates of effect?

About here?

About here?



# GRADE

- **Certainty of evidence**

- Involves assessing evidence transparently
- Confidence in an estimate of effect, association?
- Starts with single studies
- Ends with a body of evidence by outcome and a recommendation

- **Recommendations**

- Involves making judgments and decisions transparent, rating evidence
- Evidence to Decision (EtD) frameworks
- Comprehensive list of criteria that influence a recommendation
- Clearly developed & formulated action message
  - (strong or conditional/weak recommendations for or against an option)

G

uidelines



Formulate question

Select outcomes

Rate importance

Outcomes across studies

P  
I/E  
C  
O

Outcome Critical

Outcome Critical

Outcome Important

Outcome Not important

Create evidence profile/SoF Table with GRADEpro

Summary of findings & estimate of effect for each outcome

Rate quality of evidence for each outcome

Randomization raises initial quality  
RCTs: high  
Observational: low

High  
Moderate  
Low  
Very low

Grade down

1. Risk of bias
2. Inconsistency
3. Indirectness
4. Imprecision
5. Publication bias

Grade up

1. Large effect
2. Dose response
3. Opposing bias & Confounders

Evidence synthesis (systematic review/HTA)

Recommendation/Decision

Grade recommendations (Evidence to Recommendation)

- For or against (direction)  $\downarrow\uparrow$
- Strong or conditional/weak (strength)

By considering balance of consequences (evidence to recommendations):

- ❑ Quality of evidence
- ❑ Balance benefits/harms
- ❑ Values and preferences (equity)
- ❑ Resource use (cost, feasibility)
- ❑ Acceptability

EtD framework

GRADEpro GDT

Guideline

Grade overall

quality of evidence across outcomes based on lowest quality of **critical** outcomes

Formulate Recommendations ( $\downarrow\uparrow | \oplus \dots$ )

"The panel recommends that ....should..."

"The panel suggests that ....should..."

"The panel suggests to **not** ..."

"The panel recommends to **not**..."

Transparency, clear, actionable

# Assessing the certainty (quality) of evidence



# Drawing conclusions about the certainty of the evidence?

Are the studies well done? **Risk of bias**

Are the results consistent across studies? **Inconsistency**

How directly relate the results to my question? **Indirectness**

Is this effect size precise? **Imprecision**

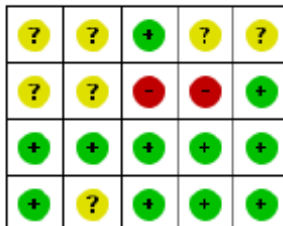
Are these all of the studies? **Publication bias**

Plus additional factors for observational studies

Dose response, size of effect, confounding

# When interpreting results, consider...

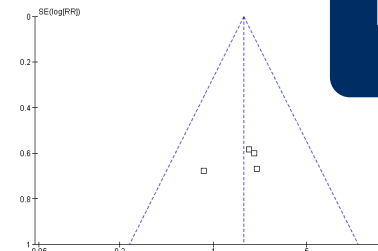
Risk  
of  
bias



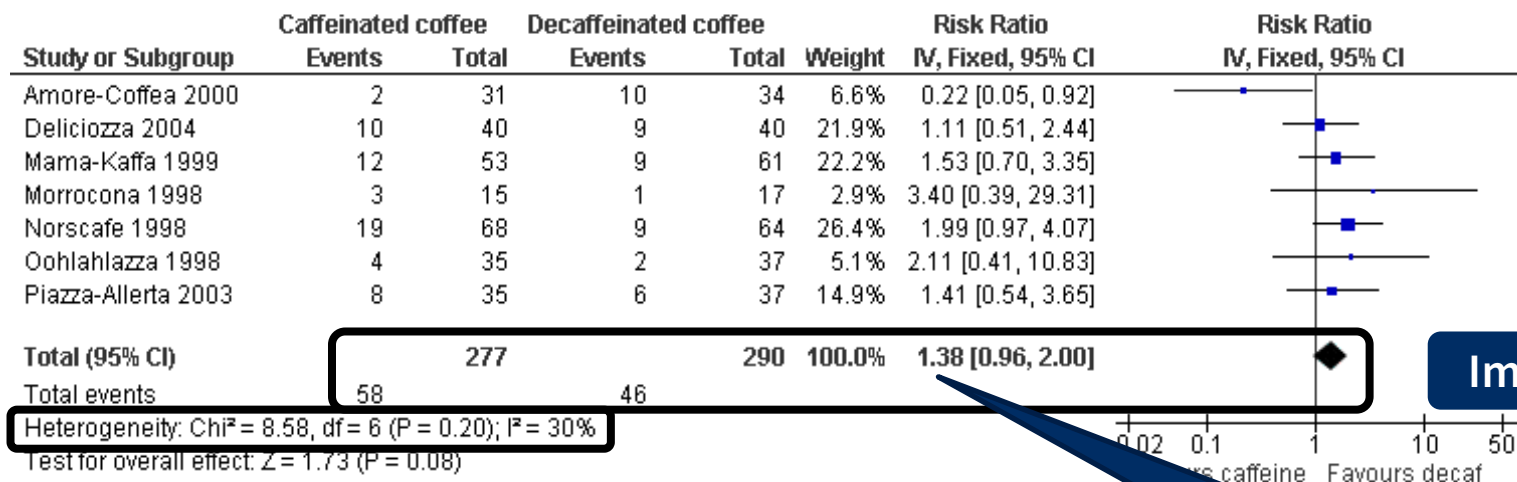
Agnelli 2009

Methods	Randomized clinical trial
Participants	1150 patients with metastatic or locally advanced lung, breast, gastrointestinal (stomach, colon-rectum, pancreas), ovarian or head and neck cancer undergoing chemotherapy.
Interventions	Subcutaneous low molecular weight heparin (nadroparin calcium, one injection/day) vs. placebo for the overall duration of chemotherapy or up to a maximum of 4 months
Outcomes	<ul style="list-style-type: none"> <li>• Survival, (4 months and 12 months follow-up)</li> <li>• Response to chemotherapy (4 months follow-up)</li> <li>• For patients with central venous catheters (CVC), complications of possible thrombotic origin, such as malfunction or requirement of CVC removal (4 months follow-up)</li> <li>• Superficial thrombophlebitis of lower limbs (4 months follow-up)</li> <li>• Asymptomatic thromboembolic events diagnosed during tests performed for other purposes (4 months follow-up)</li> <li>• Safety (major bleeding, minor bleeding, other adverse events) (4 months follow-up)</li> </ul>

Indirectness



Publication  
bias



Imprecision

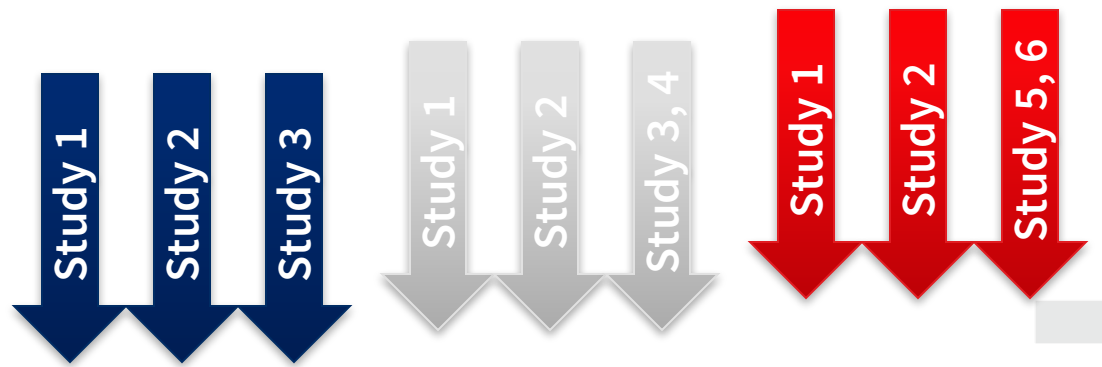
Effect size and  
direction

Inconsistency

GRADE criteria	Rating (circle one)	Footnotes (explain reasons for downgrading)	Quality of the evidence (Circle one)
<b>Outcome:</b>			
<b>Risk of Bias</b> (use the Risk of Bias tables and figures)	No serious (-1) very serious (-2)		⊕⊕⊕⊕ High  ⊕⊕⊕○ Moderate  ⊕⊕○○ Low  ⊕○○○ Very Low
<b>Inconsistency</b>	No serious (-1) very serious (-2)		
<b>Indirectness</b>	No serious (-1) very serious (-2)		
<b>Imprecision</b>	No serious (-1) very serious (-2)		
<b>Publication Bias</b>	Undetected Strongly suspected (-1)		
<b>Other</b> (upgrading factors, circle all that apply)	Large effect (+1 or +2) Dose response (+1 or +2) No Plausible confounding (+1 or +2)		

# 1. Design and Execution/Risk of Bias

Limitation in observational studies	Explanations
Failure to develop and apply appropriate eligibility criteria (inclusion of control population)	<ul style="list-style-type: none"><li>• under- or over-matching in case-control studies</li><li>• selection of exposed and unexposed in cohort studies from different populations</li></ul>
Flawed measurement of both exposure and outcome	<ul style="list-style-type: none"><li>• differences in measurement of exposure (e.g. recall bias in case-control studies)</li><li>• differential surveillance for outcome in exposed and unexposed in cohort studies</li></ul>
Failure to adequately control confounding	<ul style="list-style-type: none"><li>• failure of accurate measurement of all known prognostic factors</li><li>• failure to match for prognostic factors and/or adjustment in statistical analysis</li></ul>
Incomplete or inadequately short follow-up	



Domain	Outcome 1 (e.g. mortality)	Outcome 2 (e.g. VTE)	Outcome 3 (e.g. bleeding)	
Bias due to confounding	Low risk	Low risk	Low risk	Pre or at intervention
Bias in selection of participants into the study	Low risk	Low risk	Low risk	
Bias in classification of interventions	Moderate risk	Moderate risk	Moderate risk	
Bias due to deviations from intended interventions	Low risk	Low risk	Low risk	Post intervention
Bias due to missing data	Low risk	Serious risk	Serious risk	
Bias in measurement of outcomes	Low risk	Moderate risk	Moderate risk	
Bias in selection of reported results	Low risk	Low risk	Low risk	
Overall bias	Low risk	Moderate risk	Moderate risk	

# Determinants of certainty in a body of evidence: GRADE

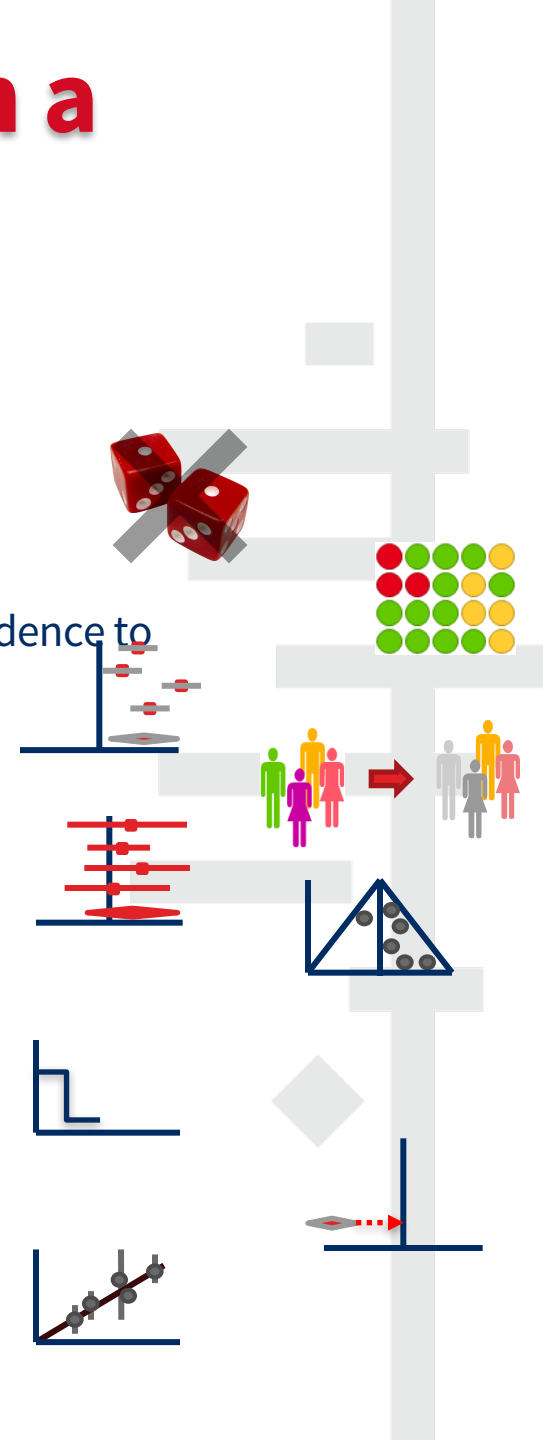
A body of evidence starts as: high | ⊕⊕⊕⊕

## 5 factors that can lower quality

1. Risk of bias criteria
  - Lack of randomization (observational studies) lowers confidence to low
2. Inconsistency (*or heterogeneity*)
3. Indirectness (*PICO and applicability*)
4. Imprecision
5. Publication bias

## 3 factors can increase quality

1. large magnitude of effect
2. opposing plausible residual bias or confounding
3. dose-response gradient





# Suggested approach

1. Until more experience with ROBINS-I and its use in GRADE is available, those assessing RoB in NRS use a default approach of downgrading evidence from high-certainty to low-certainty due to risk of bias (i.e., as part of risk of bias domain in GRADE) as a result of lack of randomization.



# Suggested approach

2. Not downgrading from high to low-certainty, that is by two levels, requires transparent and detailed justification. This should be done for the items of the ROBINS-I tool
- note to avoid confusion that ROBINS-I calls the seven items in the GRADE RoB domain, domains themselves.
  - **E.g. residual bias and confounding applies**



# 1. RCTs Design and Execution/Risk of Bias

## Limitations in RCTs

lack of concealment

intention to treat principle violated

inadequate blinding

loss to follow-up

early stopping for benefit

selective outcome reporting

# Design and Execution/RoB

Regular treatment with salmeterol for chronic asthma:  
serious adverse events (Review)

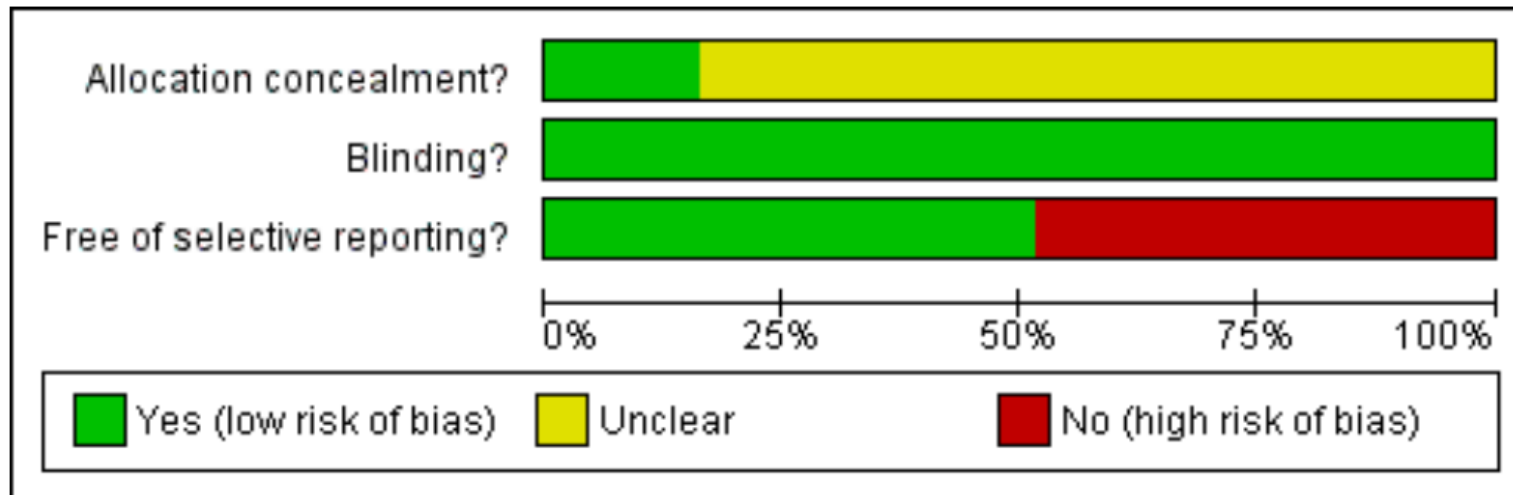
Cates CJ, Cates MJ

Figure 4. Risk of bias summary: review authors' judgments about each risk of bias item for each included study.

	Allocation concealment?	Blinding?	Free of selective reporting?
Adinoff 1998	?	+	-
Boulet 1997	?	+	-
Boyd 1995	+	+	-
Britton 1992	?	+	+

# Design and Execution/RoB

Figure 3. Risk of bias graph: review authors' judgments about each risk of bias item presented as percentages across all included studies.



Overall judgment required

# Who believes the risk of bias is of concern?

Yes

No

Don't know or undecided



# Detailed study design and execution

Mortality, cancer and anticoagulation

	Adequate sequence generation?	Allocation concealment?	Blinding?	Incomplete outcome data addressed?	Free of other bias?
Altinbas 2004	?	?	+	?	?
Kakkar 2004	+	+	+	+	+
Klerk 2005	+	+	+	-	+
Lebeau 1994	?	+	+	+	+
Sideras 2006	?	+	+	?	+

Akl E, Barba M, Rohilla S, Terrenato I, Sperati F, Schünemann HJ. "Anticoagulation for the long term treatment of venous thromboembolism in patients with cancer". Cochrane Database Syst Rev. 2008 Apr 16;(2):CD006650.

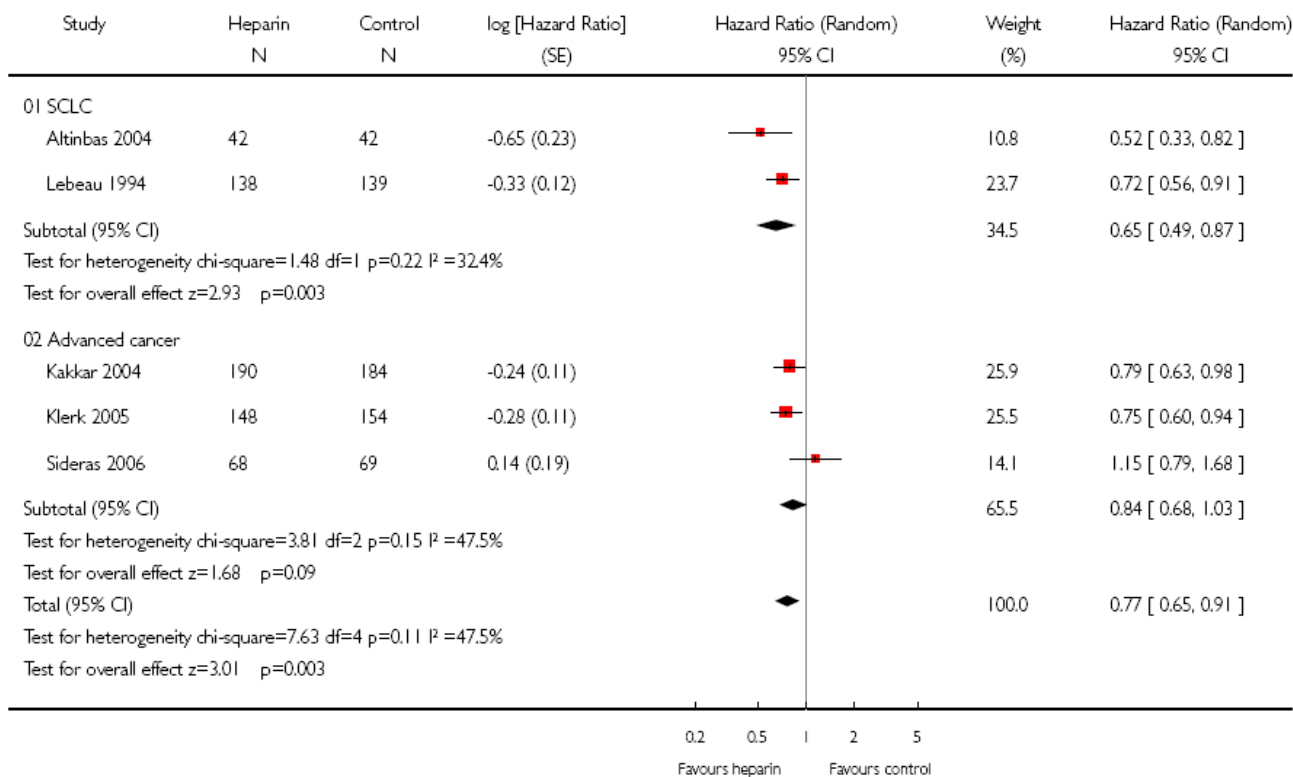
# Five trials

## Analysis 01.01. Comparison 01 Heparin vs placebo, Outcome 01 Mortality over duration of study

Review: Parenteral anticoagulation for prolonging survival in patients with cancer who have no other indication for anticoagulation

Comparison: 01 Heparin vs placebo

Outcome: 01 Mortality over duration of study







# Is the risk of bias...



Not serious



Serious



Very Serious

The majority of studies had allocation concealment, and used blinded outcome and adjudication. We did not downgrade although there was some concern about lack of blinding in some studies; the overall risk of bias was felt to be very low.

...that my confidence in the result is reduced?

# What if...

Not possible to blind?

Couldn't pool results?

Abstracts or little information about risk of bias?



## 2. Imprecision

## Small sample size

- small number of events

## Wide confidence intervals

- uncertainty about magnitude of effect

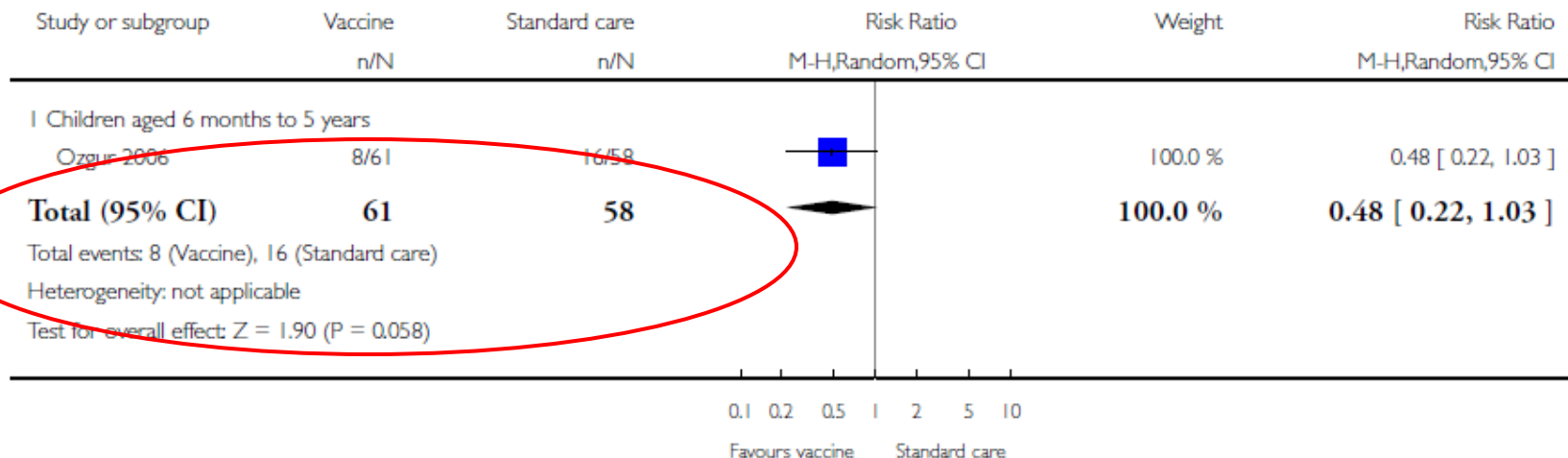
# Example: Immunization in children

## Analysis 4.3. Comparison 4 Inactivated vaccines - (cohort studies by age group), Outcome 3 Otitis media.

Review: Vaccines for preventing influenza in healthy children

Comparison: 4 Inactivated vaccines - (cohort studies by age group)

Outcome: 3 Otitis media



# Is the imprecision...



Not serious



Serious



Very Serious

...that is confidence/certainty in  
the result is reduced?

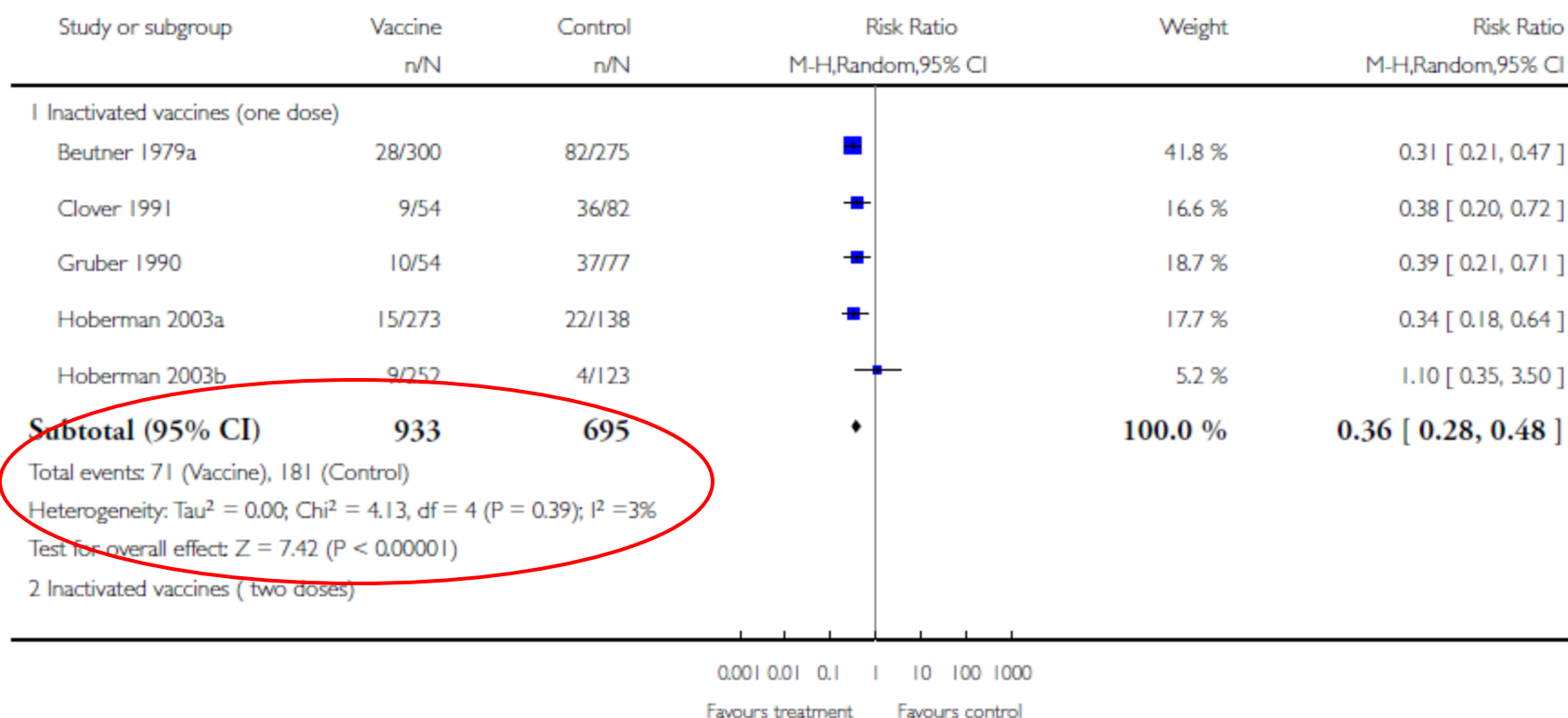


## Analysis 6.1. Comparison 6 Inactivated vaccine versus placebo (RCTs), Outcome 1 Influenza.

Review: Vaccines for preventing influenza in healthy children

Comparison: 6 Inactivated vaccine versus placebo (RCTs)

Outcome: 1 Influenza



# Is the imprecision...



Not serious



Serious



Very Serious

...that is confidence/certainty in  
the result is reduced?





# **Imprecision**

Consider

Sample sizes and number of events

- assess according to effect size, control event rates, Optimal information size (OIS)

Width of confidence intervals

- Wide confidence intervals indicate uncertainty about the effect
- Includes null effect and appreciable benefit or harm (rule of thumb:  $RR < 0.75$  or  $> 1.25$ )



# Optimal information size (OIS)

if the total number of patients included in a systematic review is **less than** the number of patients generated by a conventional sample size calculation for a single adequately powered trial, consider rating down for imprecision

<http://www.stat.ubc.ca/~rollin/stats/ssize/>

Optimal information size implications:  
Consider the total number of events

Reasonable  
threshold for  
rating down  
for imprecision  
= 300 events

Total Number of Events	Relative Risk Reduction	Implications for meeting OIS threshold
100 or less	$\leq 30\%$	Will almost never meet threshold whatever control event rate
200	30%	Will meet threshold for control event rates for $\sim 25\%$ or greater
200	25%	Will meet threshold for control event rates for $\sim 50\%$ or greater
200	20%	Will meet threshold only for control event rates for $\sim 80\%$ or greater
300	$\geq 30\%$	Will meet threshold
300	25%	Will meet threshold for control event rates $\sim 25\%$ or greater
300	20%	Will meet threshold for control event rates $\sim 60\%$ or greater
400 or more	$\geq 25\%$	Will meet threshold for any control event rate
400 or more	20%	Will meet threshold for control event rates of $\sim 40\%$ or greater

# Rules of thumb

Dichotomous outcomes

300 events

Continuous outcomes

400 people providing outcome measures



# 3. Inconsistency of results (Heterogeneity)

if inconsistency, look for explanation

- patients, intervention, comparator, outcome

if unexplained inconsistency lower quality



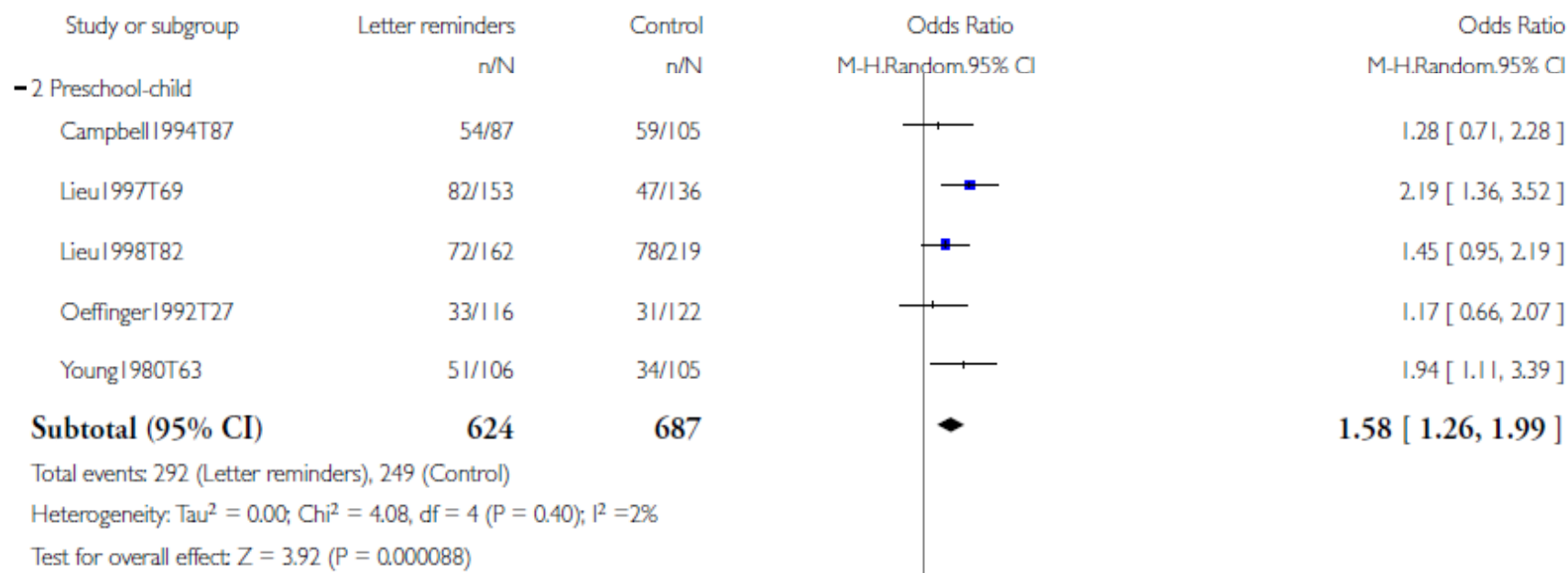
# Reminders for immunization uptake

## Analysis 2.1. Comparison 2 letter reminders vs. control, Outcome 1 Immunized.

Review: Patient reminder and recall systems to improve immunization rates

Comparison: 2 letter reminders vs. control

Outcome: 1 Immunized



**Citation:** Jacobson Vann JC, Szilagyi P. Patient reminder and recall systems to improve immunization rates. *Cochrane Database of Systematic Reviews* 2005, Issue 3. Art. No.: CD003941. DOI: 10.1002/14651858.CD003941.pub2.

# Would you downgrade for inconsistency?

☐ No, there is no serious inconsistency

☐ Yes, there is serious inconsistency

☐ Yes, there is very serious inconsistency

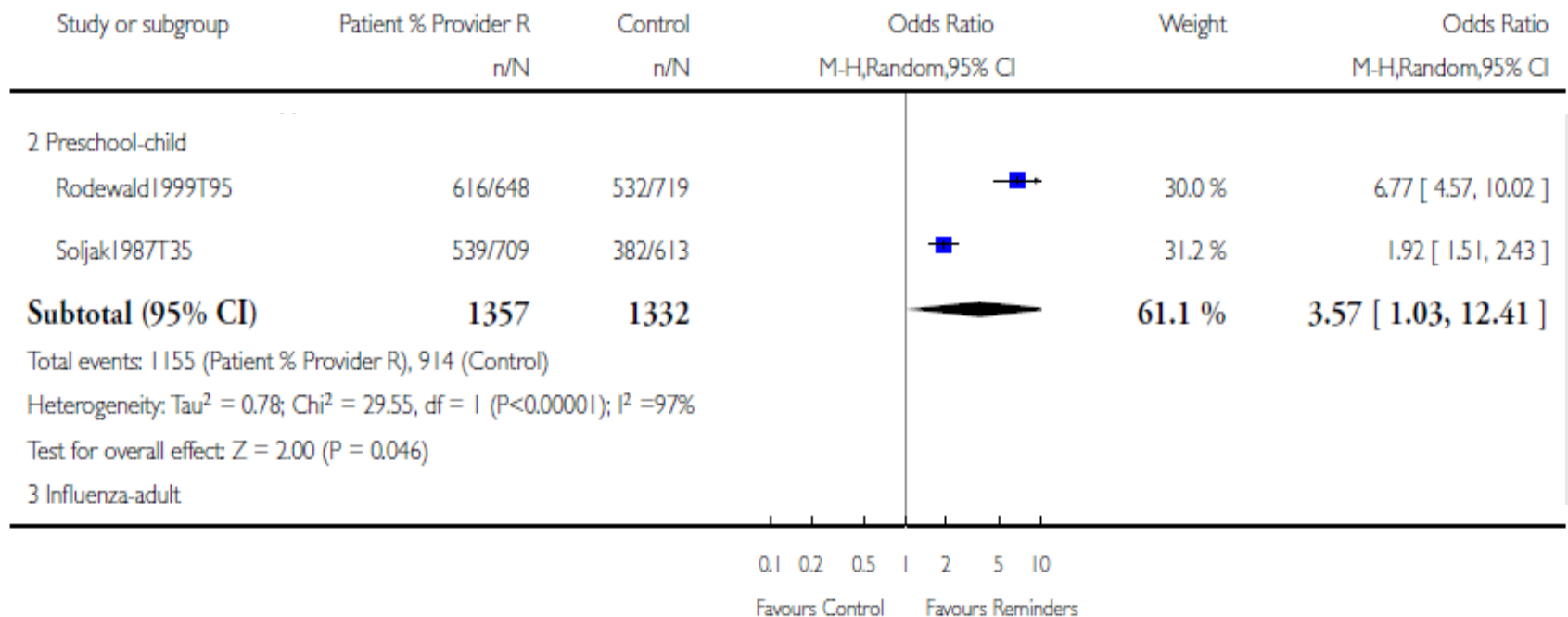


## Analysis 6.1. Comparison 6 patient & provider reminder vs. control, Outcome 1 Immunized.

Review: Patient reminder and recall systems to improve immunization rates

Comparison: 6 patient % provider reminder vs. control

Outcome: 1 Immunized



**Citation:** Jacobson Vann JC, Szilagyi P. Patient reminder and recall systems to improve immunization rates. *Cochrane Database of Systematic Reviews* 2005, Issue 3. Art. No.: CD003941. DOI: 10.1002/14651858.CD003941.pub2.



# Would you downgrade for inconsistency?

☐ No, there is no serious inconsistency

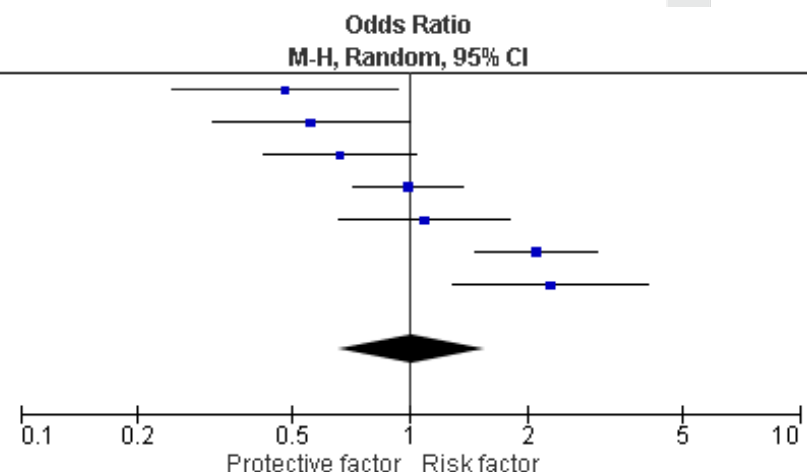
☐ Yes, there is serious inconsistency

☐ Yes, there is very serious inconsistency



# Non-steroidal drug use and risk of pancreatic cancer

Study or Subgroup	ASA/NSAIDs use		No/occasional use		Weight	Odds Ratio M-H, Random, 95% CI
	Events	Total	Events	Total		
Anderson	10	6012	60	17277	12.4%	0.48 [0.24, 0.93]
Menezes	17	79	108	327	13.4%	0.56 [0.31, 1.00]
Ratnasinghe	43	14838	35	7996	14.8%	0.66 [0.42, 1.03]
Jacobs	37	7769	3455	721041	16.1%	0.99 [0.72, 1.38]
Coogan	18	188	207	2339	14.2%	1.09 [0.66, 1.81]
Schernhammer	37	10292	153	89541	15.7%	2.11 [1.47, 3.02]
Langman	25	48	413	1286	13.4%	2.30 [1.29, 4.10]
<b>Total (95% CI)</b>		<b>39226</b>		<b>839807</b>	<b>100.0%</b>	<b>1.01 [0.65, 1.55]</b>
Total events	187		4431			
Heterogeneity: $\tau^2 = 0.28$ ; $\chi^2 = 35.73$ , $df = 6$ ( $P < 0.00001$ ); $I^2 = 83\%$						
Test for overall effect: $Z = 0.04$ ( $P = 0.97$ )						



# Would you downgrade for inconsistency?

☐ No, there is no serious inconsistency

☐ Yes, there is serious inconsistency

☐ Yes, there is very serious inconsistency



# Inconsistency

$I^2$

P-value

Overlap in CI

Difference in point estimates



# 4. Directness of Evidence

## generalizability, transferability, applicability

differences in

- populations/patients (HIC – L/MIC)
- interventions (new anticoagulants - warfarin)
- comparator appropriate (newer antibx – old)
- outcomes (important – surrogate; signs and symptoms – mortality)

indirect comparisons

- interested in A versus B
- have A versus C and B versus C

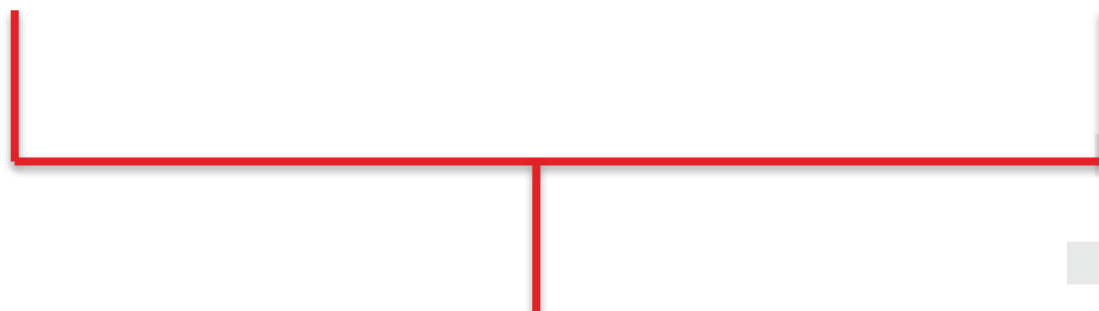
# Indirect evidence from RCTs

A versus C

OR 0.70 (95% CI 0.23 to 2.11)

C versus B

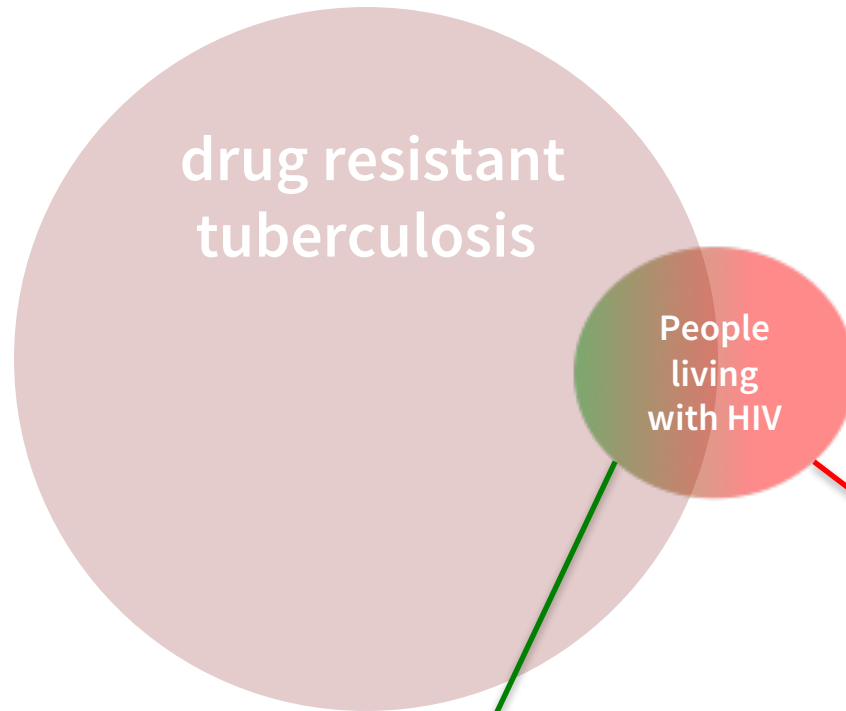
OR 1.32 (95% CI 0.37 to 4.79)



OR 0.93 (95% CI, 0.17 to 5.03)

**A versus B**

# Indirectness - population



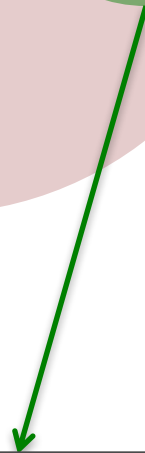
No concerns about directness (applicability)  
No downgrading  
Same recommendation

Concerns about directness  
Downgrade ↓  
Separate recommendation

# Indirectness – different effects?

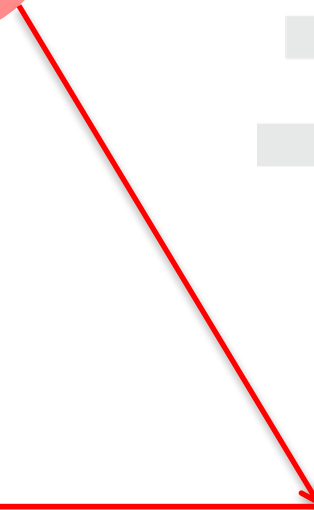
drug resistant tuberculosis

People living with HIV



No concerns about directness (applicability)  
No downgrading  
Same recommendation

People living with HIV



Concerns about directness  
Downgrade ↓  
Separate recommendation



# Example: When is evidence indirect for population?

A systematic review asks

*‘what are the effects of self management programmes in people with asthma?’*

Consider these separate scenarios for ‘quality of life’...

1. 5/6 studies include people with severe asthma
- 2.
- 3.

**Do the results apply directly to people with mild asthma?**

# Example: When is evidence indirect for population?

A systematic review asks

*‘what are the effects of self management programmes in people with asthma?’*

Consider these separate scenarios for ‘quality of life’...

- 1.
2. 4/6 studies are in children ages 1-15
- 3.

**Do the results apply directly  
adults?**

# Example: When is evidence indirect for population?

A systematic review asks

*‘what are the effects of self management programmes in people with COPD (chronic obstructive pulmonary disease)?’*

Consider these separate scenarios for ‘quality of life’...

- 1.
- 2.
3. All studies are in men

**Do the results apply directly  
to  
women as well?**

# Surrogate outcomes



# Examples of surrogate outcomes

Symptomatic pulmonary PE versus severe PE

2 or 3 months smear for TB – cure

Cholesterol – cardiovascular disease

Bone mineral density - Risk of fracture

Calcium phosphate levels – coronary artery disease

HIV viral load – morbidity

Tumour size – survival

Reduction in air pollution – morbidity or respiratory disease



# Making judgments when faced with surrogate outcomes

Is there a strong association between the surrogate and the patient important outcome?

Can you present the patient important outcomes instead of the surrogates?

Note: it is still important to indicate when there is no data for patient important outcomes

